

POSTGRADUATE MEDICAL EDUCATION SCHULICH SCHOOL OF MEDICINE & DENTISTRY

PRE-ENTRY ASSESSMENT PROGRAM (PEAP) POLICY FOR INTERNATIONALLY SPONSORED RESIDENTS AND IMG CLINICAL FELLOWS

Approved by PGME Committee: May 8, 2024

Approved by JSC: June 14, 2024 Date of Next Scheduled Review: 2027

Preamble

The Pre-Entry Assessment Program (PEAP), a legislative requirement under the Medicine Act (1991), is a 4- to 12-week period of assessment to determine if the candidate can function at their appointed level of training prior to full acceptance into a training program.

The candidate may contact the Learner Experience Office for support at any stage during their PEAP.

Purpose

The PEAP is designed for International Medical Graduates (IMG). An IMG is a candidate who graduated from a non-CACMS (Committee on Accreditation of Canadian Medical Schools) accredited medical school.

Candidates who graduated from a LCME (Liaison Committee on Medical Education) accredited medical school prior to June 30, 2025, are not required to have a PEAP.

The PEAP is required for all IMGs who:

- enter a residency program in Ontario outside of the CaRMS (Canadian Resident Matching Service) match.
- enter an Ontario clinical fellowship program after completing residency outside of Canada or the United States.
- begin a postgraduate elective if the home residency program is outside of Canada or the United States.

The PEAP provides an opportunity for the Program Director, program committee, or fellowship supervisors to:

- Assess the candidate's basic skills appropriate for supervised practice in the chosen discipline.
- Ensure that the candidate is: mentally competent to practice medicine; has the ability to practice with decency, integrity and honesty and in accordance with the law; has sufficient knowledge, skills and judgment to engage in the kind of medical practice authorized by the certificate, can communicate effectively and displays an appropriately professional attitude.

PEAP Exemption

Residency:

An applicant for a postgraduate certificate of registration, with an appointment in a residency program at an Ontario medical school may be exempted from the requirement to complete a PEAP provided that:

 The applicant has completed (within the prior year) one or more years of accredited residency training (Royal College (RC) or College of Family Physicians of Canada (CFPC)), or one or more years of ACGME accredited residency training (Accreditation Council for Graduate Medical Education) in the United States.



- The applicant is entering an Ontario medical school to take a subsequent year of residency training in the same discipline or a sub-discipline as the residency already completed, or a program to obtain recognition by the RC or CFPC in a related disciple or field after completed the educational requirements for certification by the RC or CFPC.
- 3. The applicant has passed the MCC examination and completed all other requirements for a certificate of registration for postgraduate applications.

Applicants eligible for PEAP exemption will be provided with the appropriate application for a certificate authorizing postgraduate education by the CPSO.

Clinical Fellowship:

- 1. Clinical fellows who hold a postgraduate training license in another Canadian province or territory (except Nunavut) valid up until the start date for training in Ontario, who are appointed to clinical fellowship in Ontario, are exempt from completing the PEAP.
- 2. Clinical fellows who are eligible for certification or certified by ABMS, RCPSC or CFPC.
- 3. Clinical fellows who are currently or have previously been licensed under Section 11 of the CPSO's Regulation (Postgraduate Education certificate).
- 4. Clinical fellows who are or were previously registered under Section 12 of the College's Regulation (Postgraduate Education Clinical Fellow certificate) and are applying for another clinical fellowship appointment in Ontario.

Despite the option for exemption for PEAP, the training program is free to determine whether a trainee must complete the PEAP.

Policy References

- Council of Ontario Universities PEAP Policy
- CPSO PEAP Exemption Requirements

Licensure

The candidate must hold a valid certificate of registration authorizing postgraduate education from the College of Physicians and Surgeons of Ontario (CPSO) before they are able to begin the PEAP.

The PGME office issues a Letter of Appointment (LOA) appointing the trainee to the PEAP period and the remainder of the academic training session is conditional on the successful completion of the PEAP. Once signed by the trainee, the LOA is submitted to the CPSO.

Upon completion of PGME's registration requirements, PGME will inform the program and the trainee that the trainee is ready to start the PEAP. The PGME office will email the PEAP Final Assessment Form to the program (see workflow for submission guidelines). The PEAP candidate may practice medicine only:

- a) in a clinical teaching unit that is formally affiliated with the Ontario medical school and only as part
 of a system in which postgraduate trainees are regularly assigned by the program to that clinical
 teaching unit;
- to the extent required to complete the pre-entry assessment program to which the holder is appointed; and
- c) under a level of supervision that is determined to be appropriate for the holder and the program of medical education and assessment, by a member of the College designated by the director of the program:
- d) may not charge a fee for medical services.



A candidate who is not successful in the PEAP will have their CPSO certificate of registration terminated effective of the end date of the PEAP as indicated on the final assessment form. The residency or fellowship appointment is also terminated effective the same date.

Length of the PEAP

The PEAP must be a minimum of four (4) weeks, to a maximum of twelve (12) weeks. The length of the PEAP is determined by the program.

It must provide an assessment of the candidate's general knowledge and competency at the entry level for the specialty, sub-specialty or fellowship program.

PEAP Extension

Extensions of the PEAP of up to 4 weeks may be made if a full opportunity for assessment has not been possible; for example, illness of the trainee, temporary unavailability of the supervisor, or insufficient clinical opportunities during the program.

Requests for PEAP extension beyond 4 weeks must be made to PGME and require a letter from the Program Director or PEAP supervisor.

A PEAP cannot be extended for remedial training.

Supervision

Programs should ensure their PEAP learners are scheduled for rotations that allow for assessment in all the competencies outlined in the final PEAP assessment form.

Assessment

- During the PEAP period the learner must be assessed regularly (every 2 weeks). These <u>interim</u> assessments should be used as a reference when completing the final PEAP assessment form.
- Any concerns with respect to a potential unsatisfactory outcome of the PEAP must be communicated to the PGME Office as soon as the Program is aware that the candidate is not meeting expectations. Additional written documentation and assessments will be required, and the candidate must be made aware of the concerns. The candidate must be provided with a written assessment outlining the learning deficiencies and areas for improvement. A modified education plan may be provided.
- Any allegations of unprofessional conduct against a candidate in the PEAP, and/or conduct that gives rise to concerns about patient care or safety must be documented and be brought to the attention of the Associate Dean PGME.
- The final PEAP assessment form is provided by PGME (<u>resident form</u>, <u>fellow form</u>) and must be completed by the learner's main supervisor and signed off by the Program Director (PD) or Fellowship Program Supervisor (the PD may sign off as both supervisor and PD). The program must review the final assessment with the learner, and the learner must sign the assessment before the program submits it to PGME.
- The program must send the learner's completed final PEAP assessment form to the PGME office 2-5 business days prior to the PEAP completion date. If a PEAP extension is required (up to a maximum of 4 weeks) the program must notify PGME as soon as possible to initiate an extension request with CPSO.
- PGME will review the final PEAP assessment for errors, obtain the PGME Associate Dean's signature, and submit the completed final PEAP assessment to the CPSO.



Vacation Requests During the PEAP

The PEAP is a high stakes assessment over a short period of time, vacation time is strongly discouraged during this period and may put the candidate's assessment in jeopardy.

Possible Outcomes

1. Satisfactory

The PEAP candidate continues in the postgraduate training program.

2. Unsatisfactory

Failure to meet expectations in any area of the PEAP assessment will result in an unsuccessful PEAP. A candidate with an unsatisfactory assessment has their appointment with the University terminated. The candidate must be informed of the right to appeal the decision and timelines for appeal.

3. Withdrawal

A PEAP candidate may choose to withdraw from the PEAP at any time. Withdrawal from the PEAP program after an unsatisfactory PEAP assessment will result in notification to the CPSO of an unsuccessful PEAP.

Reapplication of Unsatisfactory/Withdrawn Candidates

If the PEAP is not completed successfully CPSO regulations prevent enrolment in a subsequent PEAP in Ontario in the same discipline.

Professional Conduct

PEAP candidates are expected to adhere to the standards of ethical behaviour for the medical profession, and their professional activities are expected to be characterized by honesty, integrity, conscientiousness and reliability. Behaviour which violates these principles is viewed as a demonstration of lack of suitability for satisfactory completion of the PEAP and ongoing training in a residency or fellowship program. Unprofessional conduct may result in termination and dismissal from the PEAP.

Appeal

An unsuccessful PEAP is open to appeal on procedural (process) grounds only.

Appeal of an Unsatisfactory PEAP Final Assessment

- 1. PEAP candidates may choose to appeal an unsatisfactory final assessment or suspension based on process issues only.
- 2. A candidate may appeal the following decisions to the Schulich Postgraduate Medical Education Appeal Committee ("the Committee"):
 - a) an unsatisfactory assessment at the end of the PEAP resulting in termination of a candidate's appointment with the University.
 - a decision by the Associate Dean PGME to terminate a candidate's appointment with the University because they engaged in unprofessional conduct and/or jeopardized patient care or safety.
- 3. A candidate may appeal on the following grounds:
 - a) for an appeal under section 1(a), that there was a significant error in the PEAP process that could reasonably be seen to cast doubt on the correctness of the final assessment;



- b) for an appeal under section 1(b), that the Associate Dean PGME did not take into consideration relevant information or that the decision cannot be supported on the information that was before the Associate Dean PGME.
- 4. An appeal must be submitted to the PGME Office within two weeks of the issuance of the decision and include the following:
 - a) a copy of relevant assessments (if applicable)
 - b) a copy of the decision
 - c) the grounds of appeal and remedy sought, and
 - d) a full statement supporting the grounds of appeal and any relevant documentation.
- 5. The PGME Office shall forward copies of the appeal documentation to the respondent (Program Director and/or Associate Dean PGME) who shall file a concise written reply with relevant documentation within two weeks of the filing of the appeal. A copy of the reply shall be provided to the candidate.
- 6. Where circumstances warrant, the deadlines for filing an appeal or response may be extended at the discretion of the Chair of the Committee.
- 7. The PGME Office shall forward the documentation provided by the candidate and respondent to the Committee.
- 8. The Committee shall determine its own procedures for hearing an appeal and the Chair of the Committee may make such rules and orders as they deem necessary and proper to ensure a fair and expeditious proceeding. The candidate will be informed of the procedures that will be followed.
- 9. The Committee shall proceed fairly in its disposition of the appeal, ensuring that both the candidate and the respondent are aware of the evidence to be considered.
- 10. The Committee shall provide the parties to the appeal with an opportunity to meet with the Committee and bring witnesses. Both parties and their witnesses may be cross-examined by the other party and both parties may be represented by legal counsel.
- 11. The Committee shall issue a written decision with reasons and may:
 - a) deny the appeal;
 - b) grant the appeal of the assessment (section 1(a)) if it is persuaded that there was a significant error in the assessment verification period process that could reasonably be seen to cast doubt on the correctness of the final assessment and allow the candidate to repeat the PEAP process or part of the process (subject to any required CPSO approval) and may provide recommendations to the program on the conduct of the process;
 - c) grant the appeal of the Associate Dean PGME's decision (section 1(b)) if it is persuaded that the Associate Dean PGME did not take into consideration relevant information when making the decision and remit the matter to the Associate Dean PGME for reconsideration; or
 - d) grant the appeal if it is persuaded that the Associate Dean PGME's decision (section 1(b)) cannot be supported on the information that was before the Associate Dean PGME and reinstate the candidate.
- 12. A decision to deny the appeal may be appealed to the Dean, Schulich School of Medicine & Dentistry, on the grounds that there was a significant procedural error by the Schulich Postgraduate Medical Education Appeal Committee that was prejudicial to the candidate and casts doubt on the fairness of those proceedings. The Dean may delegate their authority to hear and decide the appeal to another individual or individuals or to a committee. References to "Dean" in this part mean "Dean or delegate".



- 13. An appeal must be submitted to the Dean's Office, Schulich School of Medicine & Dentistry, within two weeks of the issuance of the Committee's decision and include the following:
 - a) a copy of the Committee's decision;
 - b) the grounds of appeal and remedy sought; and
 - c) a full statement supporting the grounds of appeal and any relevant documentation.
- 14. The Dean's Office shall forward copies of the candidate's appeal documentation to the respondent (Program Director or Associate Dean PGME) who shall file a concise written reply with relevant documentation within two weeks of the filing of the appeal. A copy of the reply shall be provided to the candidate.
- 15. Where circumstances warrant, the deadlines for filing an appeal or response may be extended at the discretion of the Dean.
- 16. The Dean shall base his or her decision solely on the written material filed by the parties. The Dean shall issue a written decision with reason and may:
 - a) deny the appeal; or
 - b) grant the appeal and send the matter back to the Committee with specific directions for rehearing all or part of the appeal or make such other order as he or she deems appropriate.

The Dean's decision is final and there is no further right of appeal at the University.